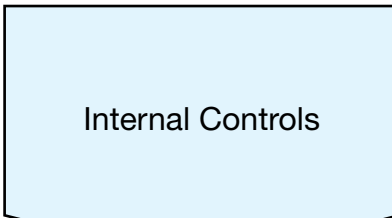
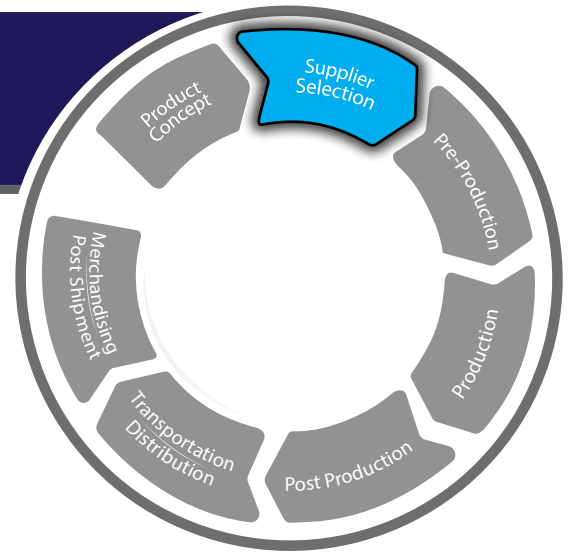


Supplier Selection: Import/Export Compliance

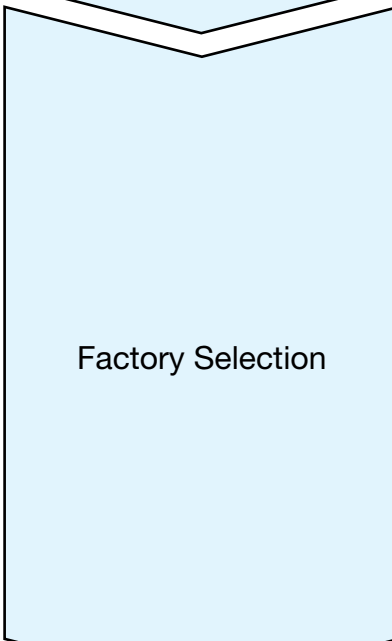
Intended for intermediate compliance programs

Key activity: Quality and capacity audit

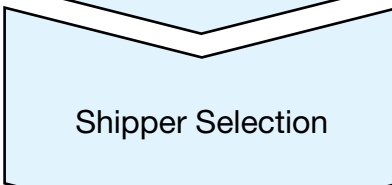
Step-by-step approach to consider risk related to import/export compliance during the supplier selection stage.



- Create an import manual
- Create an export manual
- If audits are required, will you accept an existing audit report in lieu of a new audit? Is the audit current? Is the report complete?



- Does the factory have adequate security systems in place related to the facility, personal, procedures and container security?
 - Does the factory have perimeter/interior fencing?
 - Are packing and loading areas secure? Is the factory protected against tampering with cartons in packing areas and warehouse?
 - Are locking devices and key controls in place? Does the factory have anti-intrusion systems in place?
 - Are processes in place to eliminate false shipping document
 - Has the factory undergone a C-TPAT audit? If so, can they share full audit reports and any CAPs?
 - Does the factory have a manual and/or checklist for C-TPAT requirements?
 - Do all products have country of origin markings?
- Employee Screening
 - Background checks?
 - Other pre-employment verification?
 - Personnel hiring/termination procedures



- Is the shipper prepared to protect against tampering with containers and trailers? Are containers sealed? Is security in place?
- Are processes in place to eliminate false shipping document

